



## ShArc™ for Sharepoint 2007®

### Overview:

Leveraging Microsoft's Sharepoint 2007®, 17a-4, llc, a leader in SEC compliance, has developed a suite of modules providing full-SEC compliance for regulated financial institutions.

### ShArc Compliance Suite:

The ShArc Compliance Suite consists of a number of modules allowing a financial institution to add those modules necessary for SEC compliance. These modules include:

- **ShArc Archive Capture and Management.** This module supports capture and archive management for email (requires Microsoft's Exchange 2007®).
- **ShArc Audit Report Module** – This module tracks search access and retention of the archive and provides documentation of supervisory activities to regulators.
- **ShArc for Wikis, Blogs and Discussion Groups.** This module captures wiki and blog posts on Microsoft's SharePoint 2007. These posts will be captured into the ShArc Archive and management in an EML format.
- **DataParser for Bloomberg and other e-messaging platforms.** The 17a-4 DataParser is the leading software program used by the financial industry to capture Bloomberg®, Reuters®, Blackberry SMS and other e-messaging platforms used by financial institutions.
- **ShArc Non-Erasable (WORM) Module.** This module captures SharePoint® content and writes it out to non-erasable storage media in accordance with SEC media and retention requirements.
- **ShArc Legal / Regulatory Hold Module.** This module will allow for the management of regulatory and litigation legal holds. Upon a search, responsive content may be copied into a Legal Hold Folder which manages the collection separately from the institution retention and disposition programs.

### Output Options:

For firms that are using another archival platform, ShArc may either directly interface with the archive using what are often called 'drop directories' or can export the content into a mailbox on the Exchange server that will be ingested into the archive. The latter option may require the 17a-4 Export to Outlook module.



**Pricing:**

<b>ShArc Modules</b>	<b>Description</b>	<b>Pricing</b>
<b>ShArc Capture for Wikis and Blogs</b>	Captures any content generated by an Event Handler and converts into EML format.	\$15,000.00
<b>Export to Outlook</b>	Exports ShArc EML files to an Exchange Outlook Mailbox.	\$1,500.00
<b>Professional Services</b>		
<b>ShArc Installation</b>	Installation and Training for the ShArc Modules.	\$2,500.00
<b>Ingestion of Legacy Content</b>	Capture of content already in a SharePoint application.	\$100/ hour

**Notes:**

- ShArc modules are installed on the SharePoint server. No additional hardware is required.
- Software Support and Upgrades are included in the first year. Support is 15% of the List price of the ShArc Software Modules for subsequent years.
- Installation and Support will be provided via WebEx Sessions.

17a-4  
*e-expertise*

150 East 44<sup>th</sup> Street  
New York, NY 10017  
(212) 949-1724

[www.17a-4.com](http://www.17a-4.com)

*Sharepoint is a registered trademark of Microsoft Corporation in the United States and/or other countries.*