



ShArc™ for Sharepoint (MOSS) 2007®

Overview:

Leveraging Microsoft's SharePoint 2007®, 17a-4, llc has developed ShArc – a set of modules complementing MOSS and providing full-SEC and other financial compliance. ShArc allows financial organizations to extend their SharePoint implementations to incorporate archival compliance.

ShArc Functionality:

The ShArc Archive consists of a number of modules allowing a financial institution to add those modules necessary for SEC and other regulatory compliance. These modules include:

- **ShArc Archive Capture and Management.** This module supports capture and archive management from the following content sources:
 - SharePoint® Wiki/ Blog/ List posts;
 - Microsoft LiveMeeting® content;
 - Email using Microsoft's Exchange 2007® Hub Transport technology;
 - Bloomberg®, Reuters®, MessageLabs® and IM Trader® e-messaging;
 - Blackberry SMS messaging;
 - Instant messaging from Office Communication Server 2007 R2.
- **ShArc Audit Module** – This module tracks search access and retention of the archive and provides audit reports of archival access.
- **ShArc Non-Erasable (WORM) Module.** This module captures SharePoint® content and writes it out to non-erasable storage media in accordance with SEC Rule 17a-4(f)(2)(ii).
- **ShArc Legal / Regulatory Hold Module.** This module will allow for the management of regulatory and litigation legal holds. Responsive content from a ShArc search may be copied into a Legal Hold Folder which will manage the collection separately from the institutional retention and disposition program.

ShArc supports all of the financial regulatory requirements including:

- Robust search interface across all types of ShArc content;
- Non-erasable media as required by Rule 17a-4;
- Chain of custody / Authenticity using MD5 hash for legal requirements;
- Legal hold/ disposition management;
- Search audit module.



Search screen

Below shows a ShArc search allowing for an iterative search based on Boolean, key words, and other criteria. Access is authenticated using SharePoint users permissioning.

The screenshot shows the ShArc search interface. It includes a search bar with a dropdown menu set to 'Subject'. There are fields for 'Start Date' (10/07/2009) and 'End Date'. A 'Search In:' dropdown is set to 'Main Archive'. A 'Search For:' dropdown is set to 'Blog Posts, Blog Comments'. There are buttons for 'Search ShArc' and 'Search These Results'. On the right side, there are 'Remove' and 'Add' buttons.

7 messages found (5000 max, 0 removed)

Subject	Date/Time	From	To
<input type="checkbox"/> Blog Comment, Blog: Demo Blog, Post: , Comment Title:Comment 2 [Edit]	10/7/2009 10:04:12 AM	Stefen Roebke <sroebke@17a-4.com>	sharc@17a-4.com
<input type="checkbox"/> Blog Comment, Blog: Demo Blog, Post: Declaration of Independence, Comment Title:Test [New]	10/7/2009 10:19:39 AM	Stefen Roebke <sroebke@17a-4.com>	sharc@17a-4.com
<input type="checkbox"/> Blog Comment, Blog: Demo Blog, Post: The Constitution, Comment Title:Comment 2 [New]	10/7/2009 10:04:00 AM	Stefen Roebke <sroebke@17a-4.com>	sharc@17a-4.com
<input type="checkbox"/> Blog Comment, Blog: Demo Blog, Post: The Constitution, Comment Title:Re: The Constitution [New]	10/7/2009 10:03:48 AM	Stefen Roebke <sroebke@17a-4.com>	sharc@17a-4.com
<input type="checkbox"/> Blog: Demo Blog - Post: Declaration of Independence [New]	10/7/2009 10:19:11 AM	Stefen Roebke <sroebke@17a-4.com>	sharc@17a-4.com
<input type="checkbox"/> Blog: Demo Blog - Post: The Constitution [Edit]	10/7/2009 10:03:20 AM	Stefen Roebke <sroebke@17a-4.com>	sharc@17a-4.com
<input type="checkbox"/> Blog: Demo Blog - Post: The Constitution [New]	10/7/2009 10:02:43 AM	Stefen Roebke <sroebke@17a-4.com>	sharc@17a-4.com

Select All | Deselect All

Price

ShArc is priced at \$15,000 for the first license, \$7,500 for each additional license. ShArc incorporates a license of the 17a-4 DataParser for SharePoint.

Contact

To request additional information or demonstration, please email us at sales@17a-4.com or call (212) 949-1724.

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